

# Density-based functional renormalization group for quantum many-body problems

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**U. Tokyo, ISSP**

TY, Yoshida, Kunihiro, PRC (2019)

TY, Yoshida, Kunihiro, PTEP (2019)

TY, Naito, PRB (2019)

TY, Naito, PRRResearch (2021)

TY, Kasuya, Yoshida, Kunihiro PTEP (2021)

TY, Haruyama, Sugino, PRE (2021)

TY, Naito, PRB (2022)

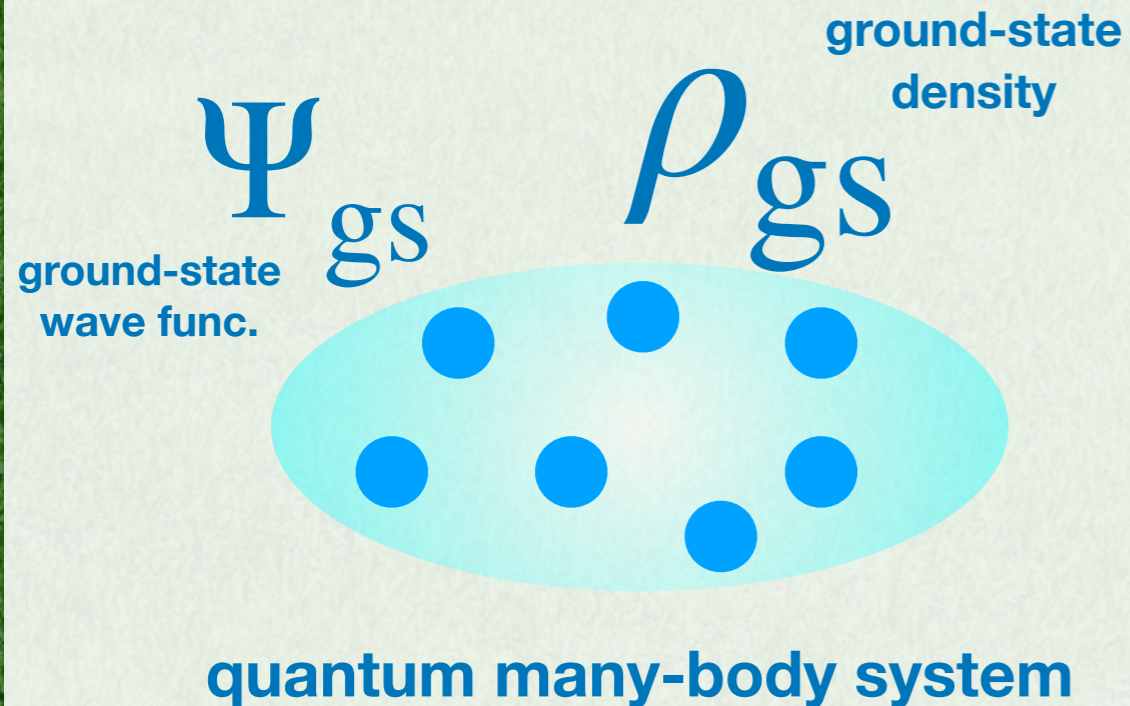
# Contents

- Density functional theory (DFT)
  - Introduction
  - Effective-action formalism for DFT
- Functional evolution equation
- Demonstration: electron system

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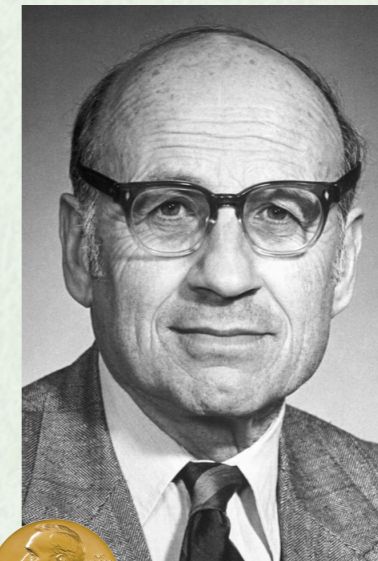
# Density functional theory (DFT)



P. Hohenberg



W. Kohn



Chem. 1998

L. J. Sham



**DFT: Exact many body theory based on  $\rho_{gs}$**

**instead of  $\Psi_{gs}$ .**

Why possible?

# Hohenberg-Kohn theorem

Suppose a non-relativistic system whose Hamiltonian is given by

$$\hat{H} = \hat{T} + \hat{U} + \hat{V}$$

kinetic    interacting    external potential

Hohenberg, Kohn, PR (1964)  
 $\hat{V} = \int dx \hat{\rho}(x) V(x)$

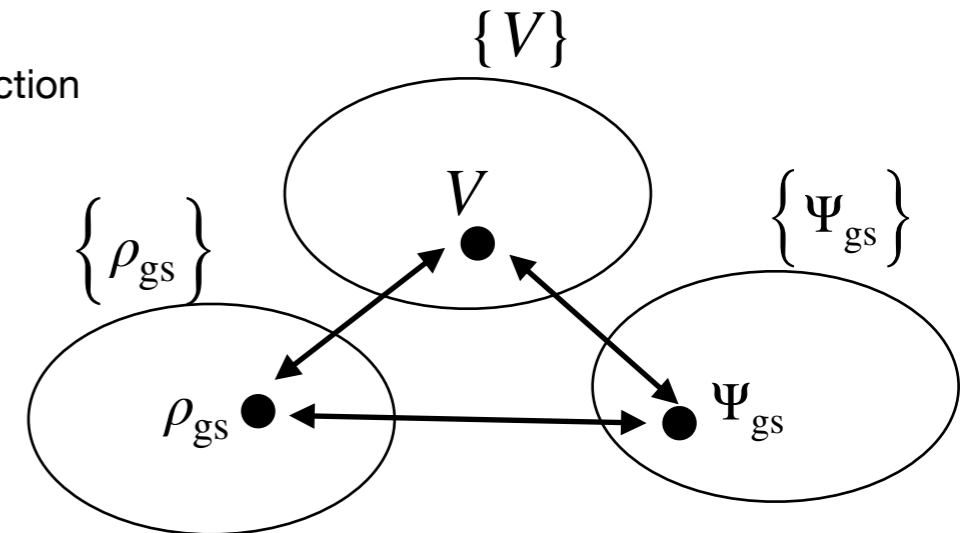
## Statements (non-degenerate case)

### 1. Equivalence of density and wave func.

proved by contradiction

There exists 1-to-1 mapping b/w  $\rho_{gs}$ ,  $\Psi_{gs}$ ,  $V$

→  $\Psi_{gs}$  is a functional of  $\rho_{gs}$ :  $\Psi_{gs} = \Psi_{gs}[\rho_{gs}]$



### 2. Variational principle

There exists energy density functional (EDF), whose minimum point gives  $\rho_{gs}$

EDF has the following form:  $E[\rho] = \langle \Psi_{gs}[\rho] | \hat{H} | \Psi_{gs}[\rho] \rangle = F[\rho] + \int d\mathbf{x} \rho(\mathbf{x}) V(\mathbf{x})$

“Universal” part

( $V$ -independent, dependent on particle mass, interaction)

# Hohenberg-Kohn theorem

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Hohenberg, Kohn, PR (1964)  
 $\hat{V} = \int dx \hat{\rho}(x) V(x)$

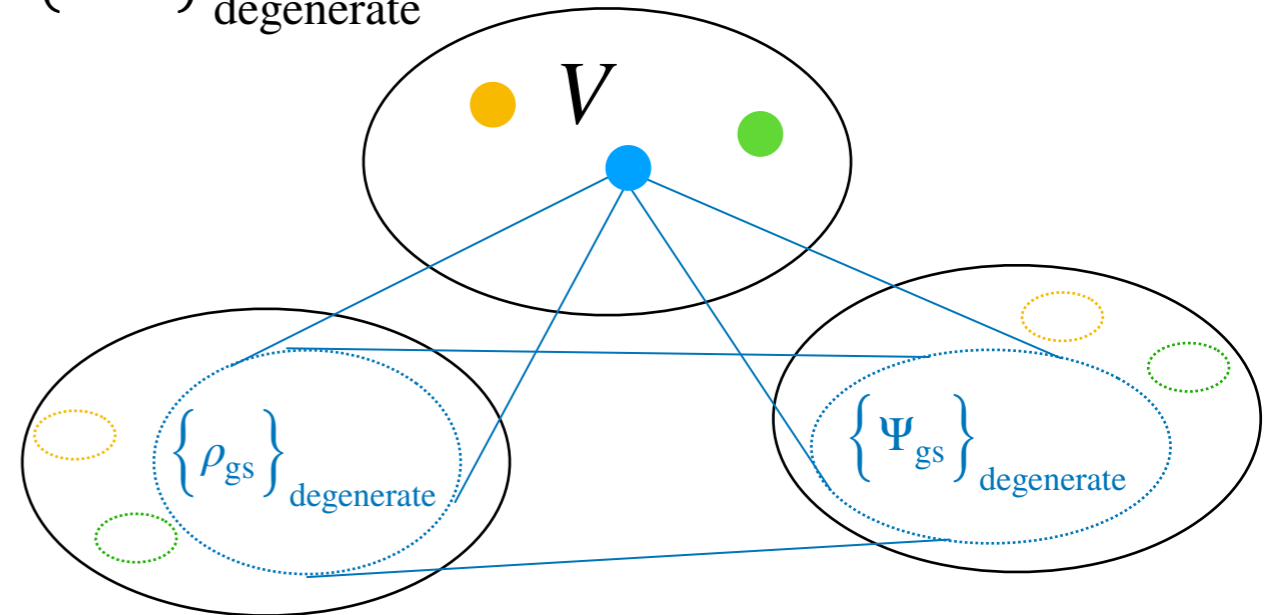
## Degenerate case

- **1-to-1 mapping b/w sets:**  $\{\rho_{gs}\}_{\text{degenerate}}$ ,  $\{\Psi_{gs}\}_{\text{degenerate}}$ ,  $V$

- **Well-defined EDF exists**

$$E[\rho] = \langle \Psi_{gs}[\rho] | \hat{H} | \Psi_{gs}[\rho] \rangle$$

↑  
One of the degenerate wave functions



- Spontaneous symmetry breaking is in principle described, but calculation of the order parameter as a functional of  $\rho$ ,  $O[\rho] = \langle \Psi_{gs}[\rho] | \hat{O} | \Psi_{gs}[\rho] \rangle$  is usually infeasible in practice.
  - For practical analysis, additional density is introduced.  
 e.g.)  $E[\rho_{\uparrow}, \rho_{\downarrow}]$  to describe magnetism

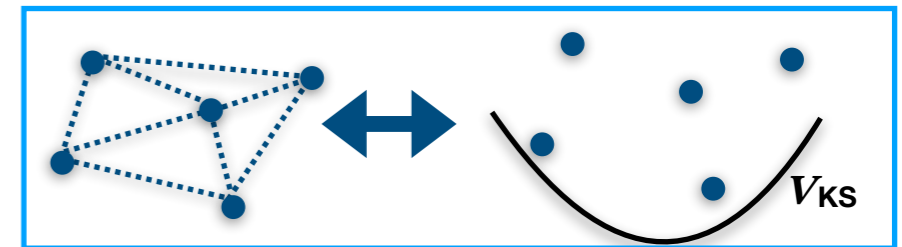
# Application to numerical analysis

## Kohn-Sham scheme

$$E[\rho] = \underbrace{T[\rho]}_{\text{free kinetic}} + \underbrace{\Delta E[\rho]}_{\text{residual}}$$

$$\text{Var. eq. } \frac{\delta T[\rho]}{\delta \rho(x)} + \frac{\delta \Delta E[\rho]}{\delta \rho(x)} = \mu$$

Kohn, Sham, PR (1965)



That for non-interacting system with ext. field  $V_{KS} = \delta \Delta E / \delta \rho$

➔ **Variational problem**  
= finding self-consistent solution for the non-int. Schrodinger eq.

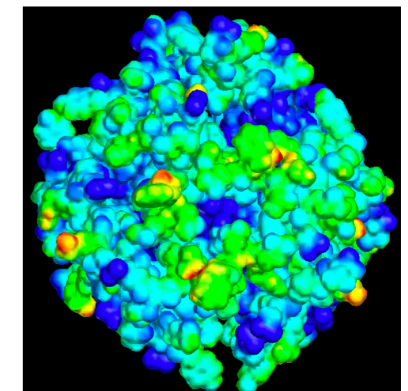
$$\left( -\frac{\nabla^2}{2m} + V_{KS}[\rho_{gs}](x) \right) \phi_i(x) = \epsilon_i \phi_i(x) \text{ with } \rho_{gs}(x) = \sum_{i=1}^N |\phi_i(x)|^2$$

**Computationally efficient!**  
 $N \lesssim 10^4$  (or  $10^{5\sim 6}$  ?)

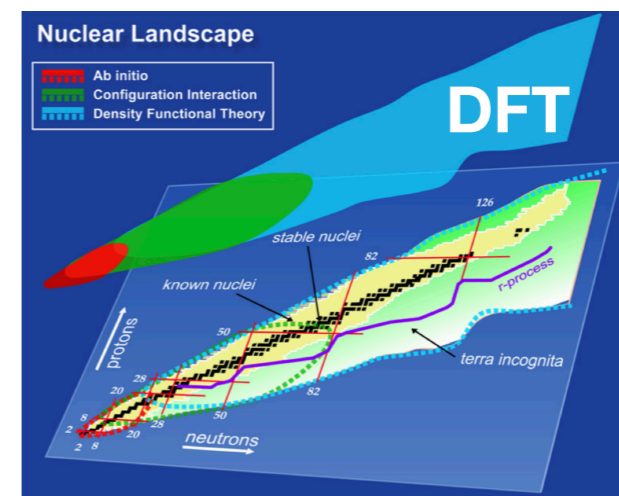
## Application

- **Electrons (crystal, molecule)**
  - **Nucleus**
    - No external field. But DFT is a tool to describe nucleus with various particle number in a unified manner.
- etc..

Proteins  
( $10^3 \sim 4$  atoms, electrons)



<http://www.slis.tsukuba.ac.jp/cicsj27/cicsj27/J13.pdf>



Bertsch, Dean, Nazarewicz (2007), SciDAC Review, (6):42





# DFT in effective action formalism

**Density: composite field**  $\rho_\psi(\mathbf{x}) = \psi^*(\mathbf{x})\psi(\mathbf{x})$

**Imaginary-time partition function for density correlation**  $\rho_\psi(\tau, \mathbf{x}) = \psi^*(\tau + 0, \mathbf{x})\psi(\tau, \mathbf{x})$

$$Z[J] = \int \mathcal{D}\psi \mathcal{D}\psi^* e^{-S[\psi, \psi^*] + \int_0^\beta d\tau \int d\mathbf{x} J(\tau, \mathbf{x}) \rho_\psi(\tau, \mathbf{x})}$$

To extract the g.s. property, we replace  $\rho(\tau, \mathbf{x}) \rightarrow \rho(\mathbf{x})$  and take  $\beta \rightarrow \infty$  at the end.

**Effective action for  $\rho$**  (two-particle-point-irreducible effective action)

$$\Gamma[\rho] = \sup_J \left( \int_0^\beta d\tau \int d\mathbf{x} J(\tau, \mathbf{x}) \rho(\tau, \mathbf{x}) - \ln Z[J] \right)$$

EDF

Fukuda, Kotani, Suzuki, Yokojima, PTP92 (1994)  
Valiev, Fernando, arXiv:9702247 (1997)

$$E[\rho] = \lim_{\beta \rightarrow \infty} \frac{\Gamma[\rho]}{\beta}$$

for  $\rho(\tau, \mathbf{x}) = \rho(\mathbf{x})$

∴ **Variational principle = quantum EOM**  $\Gamma^{(1)}[\rho_{\text{gs}}] = \mu$   
 $\Gamma[\rho_{\text{gs}}] = \beta F_{\text{Helm}}$

# Approaches to DFT based on effective-action formalism

## c.f.) Reviews by R.J. Furnstahl+

“EFT for DFT”, Furnstahl (2007)

“Toward ab initio density functional theory for nuclei”, Drut, Furnstahl, Platter (2009)

“Turning the nuclear energy density functional method into a proper effective field theory: reflections”, Furnstahl (2019)

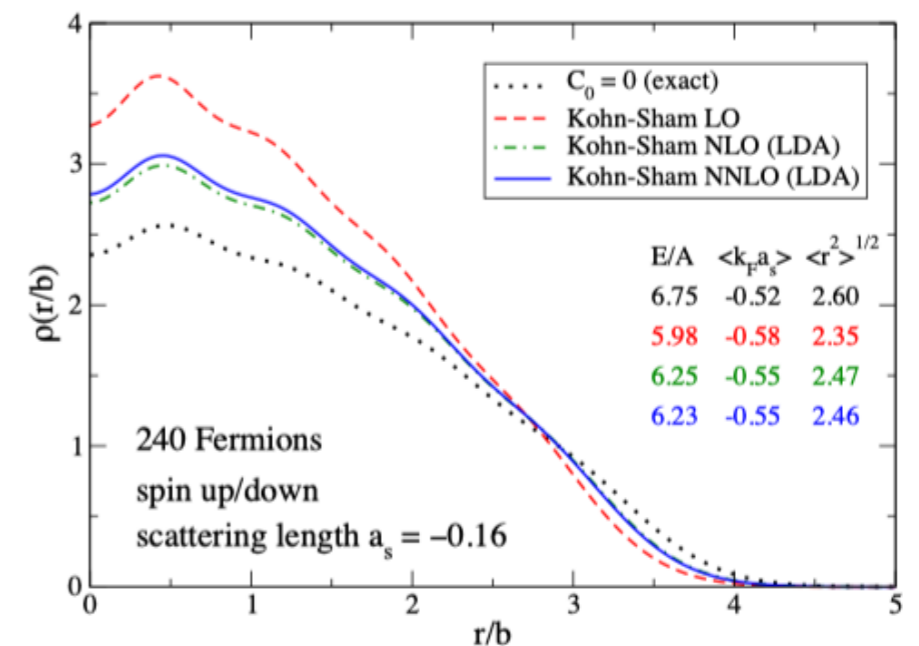
- **Expansion w.r.t. small parameter**

- Power counting with fixing  $\rho$  is obtained.

- e.g., Fermi-momentum expansion (dilute system)

- **FRG-like evolution eq.** This talk

Polonyi, Sailer (2002), Schwenk, Polonyi (2004)



Density profile of a dilute system of fermions in a trap

Puglia, Bhattacharyya, Furnstahl, NPA (2003)

# Contents

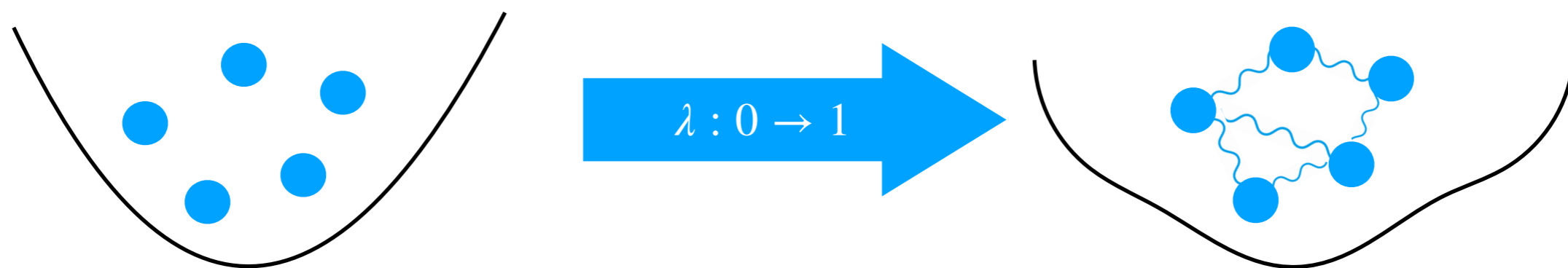
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# Adiabatic-connection fluctuation-dissipation theorem

Levy, Perdew (1985), Levy (1991), Gorling, Levy (1992)

Switching on of two-body int.

$$\hat{H} = \hat{T} + \lambda \hat{U} + \hat{V}_\lambda \quad \text{Ext. field to fix } \rho$$



$$E_{\text{xc}}[\rho] = \frac{1}{2} \int_0^1 d\lambda \int d\mathbf{x} \int d\mathbf{x}' U(\mathbf{x} - \mathbf{x}') [S_\lambda(\mathbf{x}, \mathbf{x}') - \rho(\mathbf{x})\delta(\mathbf{x} - \mathbf{x}')] ]$$

$$E[\rho] = T[\rho] + E_{\text{Hartree}}[\rho] + E_{\text{xc}}[\rho]$$

**Density correlation**  $S_\lambda(\mathbf{x}, \mathbf{x}') = \langle \hat{\rho}(\mathbf{x})\hat{\rho}(\mathbf{x}') \rangle_\lambda - \rho(\mathbf{x})\rho(\mathbf{x}')$   
**is needed as an input.**

# Evolution equation for effective action

Polonyi, Sailer (2002), Schwenk, Polonyi (2004)

$$\partial_\lambda \Gamma_\lambda[\rho] = \frac{1}{2} \int_0^\beta d\tau \int d\mathbf{x} \int_0^\beta d\tau' \int d\mathbf{x}' U(\mathbf{x} - \mathbf{x}') \delta(\tau - \tau' + 0) \\ \times \left[ \rho(\tau, \mathbf{x}) \rho(\tau', \mathbf{x}') + \left( \frac{\delta^2 \Gamma_\lambda}{\delta \rho \delta \rho} \right)^{-1} [\rho](\tau, \mathbf{x}, \tau', \mathbf{x}') - \rho(\tau, \mathbf{x}) \delta(\mathbf{x} - \mathbf{x}') \right]$$

- **Closed equation for  $\Gamma_\lambda[\rho]$**

- Input from other calculation is not needed.
- This in principle gives all the correlation functions.

- **Calculation techniques in FRG are possibly useful.**

- Vertex expansion (VE), local potential approximation (LPA)

- Real-time response function

**e.g.) O(4), quark-meson model**

- Analytic continuation is easy.

Kamikado, Strodthoff, von Smekal, Wambach (2020)  
Triolt, Strodthoff, von Smekal, Wambach (2014)  
TY, Kunihiro, Morita (2016), (2017)...

# Studies of FRG-aided DFT

- **Classical & quantum anharmonic oscillators**

Kemler, Braun, JPG (2013) **VE**

Liang, Niu, Hatsuda, PLB (2018) **VE**

- **One-dim nuclear system**

Kemler, Pospiech, Braun, JPG (2017) **VE**

TY, Yoshida, Kunihiro, PRC (2019) **VE**

TY, Yoshida, Kunihiro, PTEP (2019) **VE**

**Excited states**  
**(real-time correlation function)**

- **Electron systems**

TY, Naito, PRB (2019) **VE**

TY, Naito, PRRResearch (2021) **VE**

TY, Naito, PRB (2022) **VE**

- **Classical liquids**

Lue, AIChE (2015) **LPA**

TY, Haruyama, Sugino, PRE (2021) **VE**

- **Superfluid system**

TY, Kasuya, Yoshida, Kunihiro PTEP (2021)

**Formulation**

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**w/ T. Naito**

TY, Naito, PRB (2019)

TY, Naito, PRResearch (2021)

TY, Naito, PRB (2022)

# Local density approximation (LDA)

$$E[\rho] = F[\rho] + \int dx \rho(\mathbf{x}) V(\mathbf{x})$$

$$F[\rho] = T[\rho] + \frac{1}{2} \int dx \int dx' \overset{\text{Hartree}}{U(\mathbf{x} - \mathbf{x}') \rho(\mathbf{x}) \rho(\mathbf{x}')} + E_{\text{xc}}[\rho] \quad \text{exchange-correlation (unknown)}$$

**LDA** Simple approx. for EDF

Proof: Lewin, Lieb, Seiringer (2020)

small gradient limit  $\nabla \rho(\mathbf{x}) / \rho(\mathbf{x})^{4/3} \ll 1$

$$E_{\text{xc}}[\rho] \approx \int dx \epsilon_{\text{xc}}(\rho(\mathbf{x})) \rho(\mathbf{x})$$

xc energy per particle of homogeneous gas

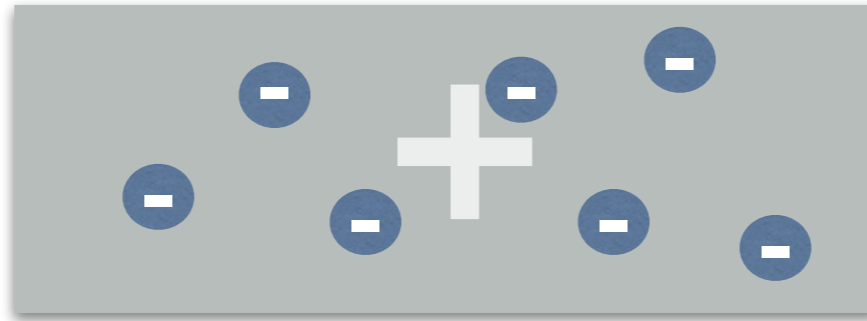
**LSDA** (local spin density approx.)

$$E_{\text{xc}}[\rho_{\uparrow}, \rho_{\downarrow}] \approx \int dx \epsilon_{\text{xc}}(\rho_{\uparrow}(\mathbf{x}), \rho_{\downarrow}(\mathbf{x})) \sum_s \rho_s(\mathbf{x})$$

Let us derive  $E_{\text{xc}}$  with VE

# Jellium model

Electron gas in homogeneous static background positive ion



$$S_\lambda[\psi, \psi^\dagger] = \int d\tau \int d\mathbf{x} \psi^\dagger(\tau + 0, \mathbf{x}) \left( \partial_\tau - \frac{\Delta}{2} \right) \psi(\tau, \mathbf{x}) \\ + \frac{\lambda}{2} \int d\tau \int d\mathbf{x} \int d\mathbf{x}' U(\mathbf{x} - \mathbf{x}') \left( \rho_\psi(\tau, \mathbf{x}) - n \right) \left( \rho_\psi(\tau, \mathbf{x}') - n \right)$$

in Hartree atomic unit  $a_{\text{Bohr}} = m_e = 1$

Electron field:  $\psi = {}^t(\psi_\uparrow, \psi_\downarrow)$

Coulomb force:  $U(\mathbf{x} - \mathbf{x}') = |\mathbf{x} - \mathbf{x}'|^{-1}$

Electron density:  $\rho_\psi(\tau, \mathbf{x}) = \psi^\dagger(\tau + 0, \mathbf{x})\psi(\tau, \mathbf{x})$

Background-ion density:  $n$

# Vertex expansion

Expansion around  $\rho_{\uparrow,\downarrow} = \rho_{\text{gs}\uparrow,\downarrow}$  of interest

$$\Gamma_{\lambda}[\rho_{\uparrow,\downarrow}] = \sum_{n=0}^{\infty} \frac{1}{n!} \oint_{X_1} \dots \oint_{X_n} \Gamma_{\lambda}^{(n)}[\rho_{\text{gs}\uparrow,\downarrow}](X_1, \dots, X_n) \prod_{i=1}^n \left( \rho(X_i) - \rho_{\text{gs},s_i} \right) \quad X = (\tau, \mathbf{x}, s)$$



0th  
Energy

$$\partial_{\lambda} \Gamma_{\lambda}[\rho_{\text{gs}\uparrow,\downarrow}] \left( = \partial_{\lambda} \beta E_{\text{gs},\lambda} \right) = \text{Flow}_{\lambda}^{(0)} \left[ \rho_{\text{gs}\uparrow,\downarrow}, \Gamma_{\lambda}^{(2)}[\rho_{\text{gs}\uparrow,\downarrow}] \right]$$

1st  
Chemical potential

$$\partial_{\lambda} \Gamma_{\lambda}^{(1)}[\rho_{\text{gs}\uparrow,\downarrow}](X) \left( = \partial_{\lambda} \mu_{\lambda} \right) = \text{Flow}_{\lambda}^{(1)} \left[ X; \rho_{\text{gs}\uparrow,\downarrow}, \Gamma_{\lambda}^{(2)}[\rho_{\text{gs}\uparrow,\downarrow}], \Gamma_{\lambda}^{(3)}[\rho_{\text{gs}\uparrow,\downarrow}] \right]$$

2nd  
Density correlation

$$\partial_{\lambda} \Gamma_{\lambda}^{(2)}[\rho_{\text{gs}\uparrow,\downarrow}](X_1, X_2) \left( = \partial_{\lambda} G_{\lambda}^{(2)-1}(X_1, X_2) \right)$$

$$= \text{Flow}_{\lambda}^{(2)} \left[ X_1, X_2; \rho_{\text{gs}\uparrow,\downarrow}, \Gamma_{\lambda}^{(2)}[\rho_{\text{gs}\uparrow,\downarrow}], \Gamma_{\lambda}^{(3)}[\rho_{\text{gs}\uparrow,\downarrow}], \Gamma_{\lambda}^{(4)}[\rho_{\text{gs}\uparrow,\downarrow}] \right]$$

⋮

Approximation for higher-order terms is needed

# Flow equations for density correlations

Density correlation  $G_\lambda^{(n)}(X_1, \dots, X_n) = \frac{\delta^n}{\delta J(X_1) \dots \delta J(X_n)} \ln Z_\lambda[J]$

Momentum space (homogeneous)  $(2\pi)^4 \delta(P_1 + \dots + P_n) \tilde{G}_{\lambda, s_1, \dots, s_n}^{(n)}(P_1, \dots, P_{n-1}) = \text{F.T.} \left( G_\lambda^{(n)} \right)$   
 $P = (\omega, \mathbf{p})$

Since  $\tilde{G}_0^{(n)}$  is easier to calculate than  $\Gamma_0^{(n)}$ , we rewrite the flow eq. in terms of  $\tilde{G}_\lambda^{(n)}$ .

Flow eqs. (after spin summation)

**0th**  $\partial_\lambda \frac{E_{\text{gs}, \lambda}}{N} = \frac{1}{2n} \int_{\mathbf{p}} \tilde{U}(\mathbf{p}) \left( \int_{\omega} \tilde{G}_\lambda^{(2)}(P) - n \right)$   $\tilde{G}_\lambda^{(n)} = \sum_{s_1, \dots, s_n} \tilde{G}_{\lambda, s_1, \dots, s_n}^{(n)}$

**1st**  $\partial_\lambda \mu_\lambda = \frac{1}{2\tilde{G}_\lambda^{(2)}(0)} \int_P \tilde{U}(\mathbf{p}) \tilde{G}_\lambda^{(3)}(P, -P) - \frac{1}{2} U(0)$

**2nd**  $\partial_\lambda \tilde{G}_\lambda^{(2)}(P) = -\tilde{U}(P) \tilde{G}_\lambda^{(2)}(P)^2 - \frac{1}{2} \int_{P'} \tilde{U}(\mathbf{p}') \tilde{G}_\lambda^{(4)}(P', -P', P) + \tilde{G}_\lambda^{(3)}(P, -P) \left( \partial_\lambda \mu_\lambda + \frac{1}{2} U(0) \right)$

# Truncation

$$\partial_\lambda \tilde{G}_\lambda^{(2)}(P) = -\tilde{U}(p)\tilde{G}_\lambda^{(2)}(P)^2 - \frac{1}{2} \int_{P'} \tilde{U}(\mathbf{p}') \tilde{G}_\lambda^{(4)}(P', -P', P) + \tilde{G}_\lambda^{(3)}(P, -P) \left( \partial_\lambda \mu_\lambda + \frac{1}{2} U(0) \right)$$

$$= C_\lambda(P; \zeta, r_s) \quad \text{Spin polarization: } \zeta = \frac{\rho_{\text{gs},\uparrow} - \rho_{\text{gs},\downarrow}}{\rho_{\text{gs},\uparrow} + \rho_{\text{gs},\downarrow}}$$

$$\text{Wigner-Seitz radius: } r_s = \left[ \frac{3}{4\pi (\rho_{\text{gs},\uparrow} + \rho_{\text{gs},\downarrow})} \right]^{1/3}$$

From the flow equation for  $\tilde{G}_\lambda^{(3,4)}$ , one can show

$$C_\lambda(P; \zeta, r_s) = C_0(P; \zeta, r_s) \left( 1 + \mathcal{O}(r_s f(\bar{P}, \zeta)) \right) \quad \bar{P} = (r_s^2 \omega, r_s \mathbf{p})$$

We ignore this (high-density expansion)

Analytic solution can be obtained

$$\frac{E_{\text{gs},\lambda=1}}{N} = \frac{E_{\text{gs},\lambda=0}}{N} \quad \text{Kinetic} \quad \frac{3}{10r_s^2} \left( \frac{9\pi}{4} \right)^{2/3} \frac{(1+\zeta)^{5/3} + (1-\zeta)^{5/3}}{2}$$

$$+ \frac{1}{2n} \int_{\mathbf{p}} \tilde{U}(\mathbf{p}) \left( \int_{\omega} \tilde{G}_0^{(2)}(P) - n \right) \quad \text{Exchange} \quad - \frac{3}{4\pi} \left( \frac{9\pi}{4r_s} \right)^{1/3} \frac{(1+\zeta)^{4/3} + (1-\zeta)^{4/3}}{2}$$

$$+ \frac{1}{2n} \int_P \left( \ln \left[ \cosh \left( \sqrt{\tilde{U}(\mathbf{p}) C_0(P)} \right) + \sqrt{\frac{\tilde{U}(\mathbf{p})}{C_0(P)}} \tilde{G}_0^{(2)}(P) \sinh \left( \sqrt{\tilde{U}(\mathbf{p}) C_0(P)} \right) \right] - \tilde{U}(\mathbf{p}) \tilde{G}_0^{(2)}(P) \right)$$

Correlation  $E_{\text{corr}}/N$

# Behavior at high density ( $r_s \rightarrow 0$ )

$$\frac{E_{\text{corr}}}{N} = \frac{1}{2n} \int_P \left( \ln \left[ \cosh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) + \sqrt{\frac{\tilde{U}(\mathbf{p})}{C_0(P)}} \tilde{G}_0^{(2)}(P) \sinh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) \right] - \tilde{U}(\mathbf{p})\tilde{G}_0^{(2)}(P) \right)$$

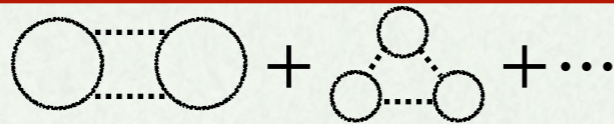
**Scaling of  $C_0$  and  $\tilde{G}_0^{(2)}$  w.r.t.  $r_s$ :**

$$C_0(\omega, \mathbf{p}; r_s, \zeta) = C_0(r_s^2 \omega, r_s \mathbf{p}; 1, \zeta)$$

$$\tilde{G}_0^{(2)}(\omega, \mathbf{p}; r_s, \zeta) = r_s^{-1} \tilde{G}_0^{(2)}(r_s^2 \omega, r_s \mathbf{p}; 1, \zeta)$$

**We have**

$$\frac{E_{\text{corr}}}{N} = \frac{1}{2n} \int_P \left( \ln \left[ 1 + \tilde{U}(\mathbf{p})\tilde{G}_0^{(2)}(\omega, \mathbf{p}) \right] - \tilde{U}(\mathbf{p})\tilde{G}_0^{(2)}(\omega, \mathbf{p}) \right) + \frac{1}{4n} \int_P \tilde{U}(\mathbf{p})C_0(\omega, \mathbf{p}) + \mathcal{O}(r_s)$$

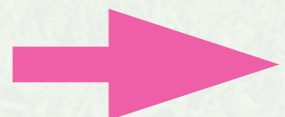


**RPA**

**2nd-order ex.**

**Gell-Mann-Brueckner (GB) resum.!!** Gell-Mann, Brueckner, PR (1957)

- Exact behavior at high density ( $r_s \rightarrow 0$ ) given by GB resum. is reproduced.
- Higher-order contribution is resummed with solving flow eq.



**Our approximation seems to be good at low and moderate  $r_s$**

# Reduction of integrals (e.g. $\zeta = 0$ )

$$\frac{E_{\text{corr}}}{N} = \frac{1}{2n} \int \frac{d\omega}{2\pi} \int \frac{d^3\mathbf{p}}{(2\pi)^3} \left( \ln \left[ \cosh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) + \sqrt{\frac{\tilde{U}(\mathbf{p})}{C_0(P)}} \tilde{G}_0^{(2)}(P) \sinh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) \right] - \tilde{U}(\mathbf{p})\tilde{G}_0^{(2)}(P) \right) \quad \text{quadruple integral}$$

$$C_{\lambda=0}(P) = 2N_s \int \frac{d^3\mathbf{p}'}{(2\pi)^3} \int \frac{d^3\mathbf{p}''}{(2\pi)^3} U(\mathbf{p}') \theta(-\xi(\mathbf{p}'')) \left( \theta(-\xi(\mathbf{p} + \mathbf{p}' + \mathbf{p}'')) - \theta(-\xi(\mathbf{p}' + \mathbf{p}'')) \right) \quad (\xi(\mathbf{p}) = \mathbf{p}^2/2 - \mu_0)$$

$$\times \left[ \frac{\left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right)^2 - \omega^2}{\left( \omega^2 + \left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right)^2 \right)^2} - \frac{\left( \xi(\mathbf{p}'' + \mathbf{p} + \mathbf{p}') - \xi(\mathbf{p}'' + \mathbf{p}') \right) \left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right) - \omega^2}{\left( \omega^2 + \left( \xi(\mathbf{p}'' + \mathbf{p} + \mathbf{p}') - \xi(\mathbf{p}'' + \mathbf{p}') \right)^2 \right) \left( \omega^2 + \left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right)^2 \right)} \right] \quad \text{sextuple integral}$$

$\tilde{G}_0^{(2)}(P)$  is obtained analytically



# Reduction of integrals (e.g. $\zeta = 0$ )

$$\frac{E_{\text{corr}}}{N} = \frac{1}{2n} \int \frac{d\omega}{2\pi} \int \frac{d^3\mathbf{p}}{(2\pi)^3} \left( \ln \left[ \cosh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) + \sqrt{\frac{\tilde{U}(\mathbf{p})}{C_0(P)}} \tilde{G}_0^{(2)}(P) \sinh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) \right] - \tilde{U}(\mathbf{p})\tilde{G}_0^{(2)}(P) \right) \quad \text{quadruple integral}$$

$$C_{\lambda=0}(P) = 2N_s \int \frac{d^3\mathbf{p}'}{(2\pi)^3} \int \frac{d^3\mathbf{p}''}{(2\pi)^3} U(\mathbf{p}') \theta(-\xi(\mathbf{p}'')) \left( \theta(-\xi(\mathbf{p} + \mathbf{p}')) - \theta(-\xi(\mathbf{p}' + \mathbf{p}'')) \right) \quad (\xi(\mathbf{p}) = \mathbf{p}^2/2 - \mu_0)$$

$$\times \left[ \frac{\left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right)^2 - \omega^2}{\left( \omega^2 + \left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right)^2 \right)^2} - \frac{\left( \xi(\mathbf{p}'' + \mathbf{p} + \mathbf{p}') - \xi(\mathbf{p}'' + \mathbf{p}') \right) \left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right) - \omega^2}{\left( \omega^2 + \left( \xi(\mathbf{p}'' + \mathbf{p} + \mathbf{p}') - \xi(\mathbf{p}'' + \mathbf{p}') \right)^2 \right) \left( \omega^2 + \left( \xi(\mathbf{p}'' + \mathbf{p}) - \xi(\mathbf{p}'') \right)^2 \right)} \right] \quad \text{sextuple integral}$$

$\tilde{G}_0^{(2)}(P)$  is obtained analytically



$$\frac{E_{\text{corr}}}{N} = \frac{1}{(2\pi)^2 n} \int \frac{d\omega}{2\pi} \int_0^\infty dp p^2 \left( \ln \left[ \cosh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) + \sqrt{\frac{\tilde{U}(\mathbf{p})}{C_0(P)}} \tilde{G}_0^{(2)}(P) \sinh \left( \sqrt{\tilde{U}(\mathbf{p})C_0(P)} \right) \right] - \tilde{U}(\mathbf{p})\tilde{G}_0^{(2)}(P) \right) \quad \text{double integral}$$

$$C_{\lambda=0}(P) = -\frac{N_s}{2} \int_{\mathbf{p}', \mathbf{p}''} \int_{\mathbf{x}} U(\mathbf{x}) e^{-i(\mathbf{p}' - \mathbf{p}'') \cdot \mathbf{x}} \left[ \theta(-\xi(\mathbf{p}'' + \mathbf{p})) - \theta(-\xi(\mathbf{p}'')) \right] \left[ \theta(-\xi(\mathbf{p} + \mathbf{p}')) - \theta(-\xi(\mathbf{p}')) \right] \left( \frac{1}{i\omega + \xi(\mathbf{p}' + \mathbf{p}) - \xi(\mathbf{p}'')} - \frac{1}{i\omega + \xi(\mathbf{p}' + \mathbf{p}) - \xi(\mathbf{p}')} \right)^2$$

$$= -\frac{N_s}{(2\pi)^6} \int_{-p_F}^{p_F} dp'_z \int_0^{\sqrt{p_F^2 - p_z'^2}} dp'_r \int_0^{2\pi} d\phi'_r \int_{-p_F}^{p_F} dp''_z \int_0^{\sqrt{p_F^2 - p_z''^2}} dp''_r \int_0^{2\pi} d\phi''_r \text{Re} \left( \frac{1}{i\omega + pp'_z + p^2/2} - \frac{1}{i\omega + pp''_z + p^2/2} \right)^2 \int_{-\infty}^\infty dz \int_0^\infty dr \int_0^{2\pi} d\theta r U(\sqrt{r^2 + z^2}) e^{-ip'_z r \cos(\phi' - \theta) + ip''_z r \cos(\phi'' - \theta)} e^{-ip'_z z + ip''_z z}$$

$$+ \frac{N_s}{(2\pi)^6} \int_{-p_F}^{p_F} dp'_z \int_0^{\sqrt{p_F^2 - p_z'^2}} dp'_r \int_0^{2\pi} d\phi'_r \int_{-p_F}^{p_F} dp''_z \int_0^{\sqrt{p_F^2 - p_z''^2}} dp''_r \int_0^{2\pi} d\phi''_r \text{Re} \left( \frac{1}{i\omega + pp'_z + p^2/2} + \frac{1}{-i\omega + pp''_z + p^2/2} \right)^2 \int_{-\infty}^\infty dz \int_0^\infty dr \int_0^{2\pi} d\theta r U(\sqrt{r^2 + z^2}) e^{-ip'_z r \cos(\phi' - \theta) - ip''_z r \cos(\phi'' - \theta)} e^{-i(p'_z + p''_z + p)z}$$

$$= -\frac{2N_s}{(2\pi)^3} \int_{-p_F}^{p_F} dp'_z \int_{-p_F}^{p_F} dp''_z \sqrt{p_F^2 - p_z'^2} \sqrt{p_F^2 - p_z''^2} \text{Re} \left( \frac{1}{i\omega + pp'_z + p^2/2} - \frac{1}{i\omega + pp''_z + p^2/2} \right)^2 \int_0^\infty dr \frac{1}{r} J_1 \left( r \sqrt{p_F^2 - p_z'^2} \right) J_1 \left( r \sqrt{p_F^2 - p_z''^2} \right) K_0(r |p'_z - p''_z|)$$

$$+ \frac{2N_s}{(2\pi)^3} \int_{-p_F}^{p_F} dp'_z \int_{-p_F}^{p_F} dp''_z \sqrt{p_F^2 - p_z'^2} \sqrt{p_F^2 - p_z''^2} \text{Re} \left( \frac{1}{i\omega + pp'_z + p^2/2} + \frac{1}{-i\omega + pp''_z + p^2/2} \right)^2 \int_0^\infty dr \frac{1}{r} J_1 \left( r \sqrt{p_F^2 - p_z'^2} \right) J_1 \left( r \sqrt{p_F^2 - p_z''^2} \right) K_0(r |p'_z + p''_z + p|)$$

**double integral!!**

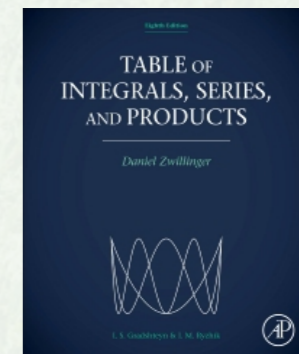
**analytic integral is possible!**

[Zwillinger, "Table of integrals, series, and products"]

**Drastically reduces the dimension of integrals**

**Fast numerical calculation!!**

**(only a few minutes to obtain  $E_{\text{corr}}$  with laptops)**



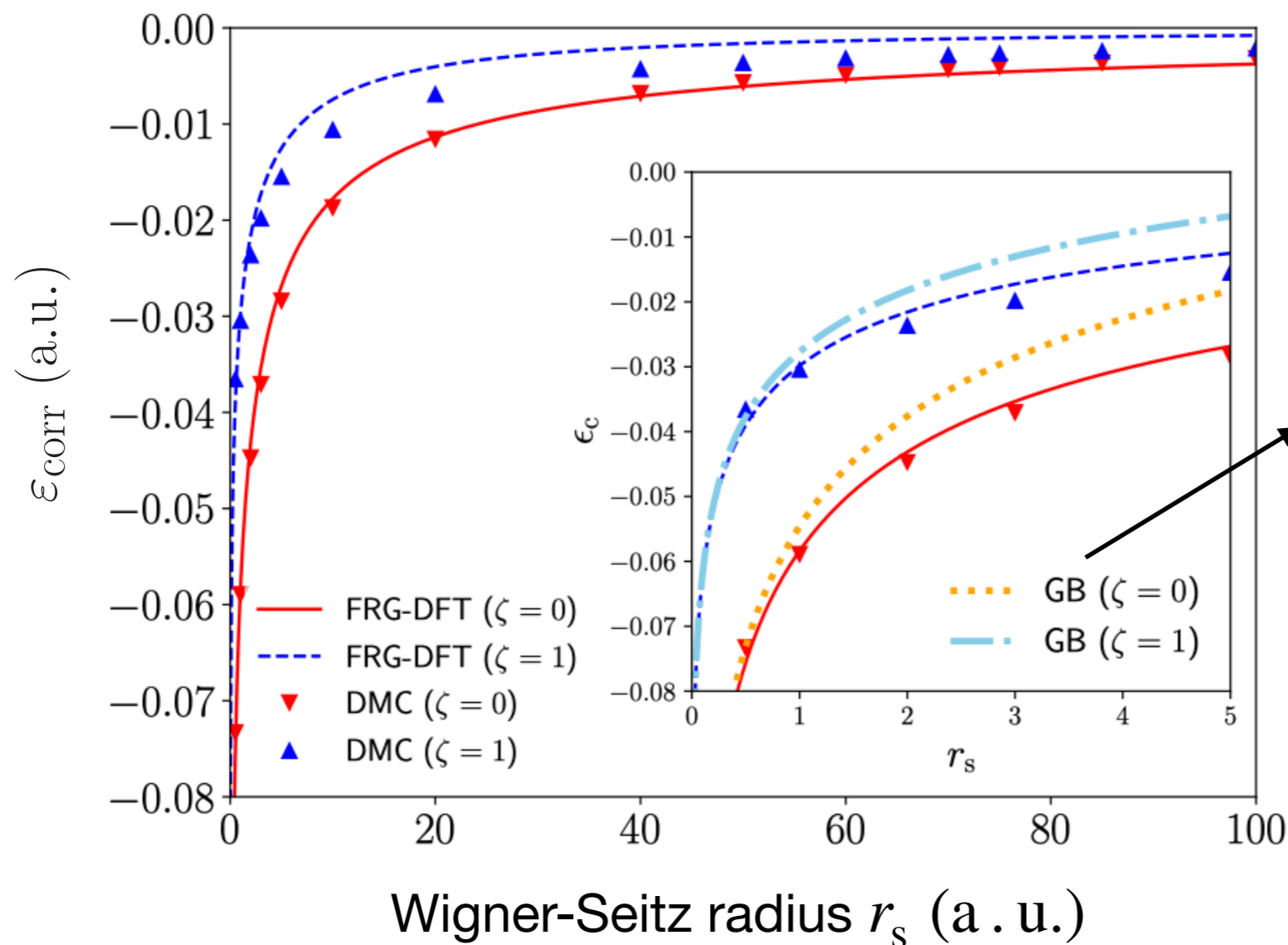
# Correlation energy per particle

$$\epsilon_{xc} = \epsilon_x + \epsilon_{\text{corr}} \quad \epsilon_x = -\frac{3}{4\pi} \left( \frac{9\pi}{4r_s} \right)^{1/3} \frac{(1+\zeta)^{4/3} + (1-\zeta)^{4/3}}{2}$$

Correlation energy in spin polarized ( $\zeta = 0$ ) and unpolarized ( $\zeta = 1$ ) cases

TY, Naito, PRResearch (2021)

TY, Naito, PRB (2022)



Gell-Mann-Brueckner  
approximation  
(Exact at  $r_s \rightarrow 0$ )

**FRG-DFT results agree with Monte-Carlo (MC) results without any empirical parameter!**

**FRG-DFT gives many data points than MC!  $\Rightarrow$  LDA functional without fitting**

# Construction of EDF without empirical parameters ( $\zeta = 0$ case)

## Correlation part of LDA EDF

$$E_{\text{corr}}^{\text{LDA}}[\rho] = \int d\mathbf{x} \epsilon_{\text{corr}}(\rho(\mathbf{x}))\rho(\mathbf{x})$$

Many of conventional EDFs (PZ81, VWN, PW92...):  
**The form of  $\epsilon_{\text{corr}}(\rho)$  is assumed empirically**  
**and free parameters are fit to few DMC data...**

e.g.) PZ81

Perdew, Zunger, PRB (1981)

$$\epsilon_{\text{corr}}(r_s) = \begin{cases} A \ln r_s + B + Cr_s \ln r_s + Dr_s & r_s < 1 \text{ a.u.} \\ \gamma / (1 + \beta_1 \sqrt{r_s} + \beta_2 r_s) & r_s \geq 1 \text{ a.u.} \end{cases}$$

## Many-data point obtained by FRG-DFT

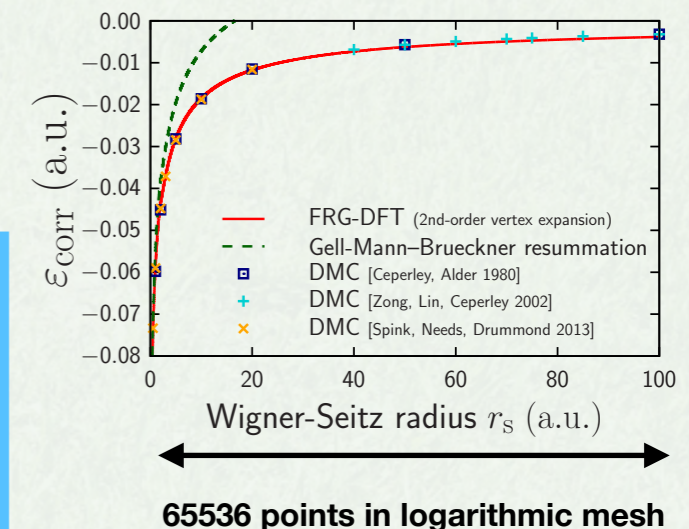


**Numerical-table method**

**Non-empirical parameter in  $10^{-6} \leq r_s < 10^2$**   
 (physically relevant region)

- Details**
- Linear interpolation between data
  - Replaced by Gell-Mann-Brueckner resum in  $r_s < 10^{-6} \text{ a.u.}$
  - Extrapolation to  $r_s \geq 10^2 \text{ a.u.}$  by PZ81-type function (parameters are determined from data in  $95 \text{ a.u.} < r_s < 100 \text{ a.u.}$ )

**But the results hardly depend on these choices.**



## Derivative of $\epsilon_{\text{corr}}$ are also obtained **analytically.**

Need for Kohn-Sham calculation

$$\left( -\frac{\Delta}{2} + \int d\mathbf{x}' \frac{\rho(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|} + \frac{\delta E_{\text{ex}}^{\text{LDA}}[\rho]}{\delta \rho(\mathbf{x})} + \frac{\delta E_{\text{corr}}^{\text{LDA}}[\rho]}{\delta \rho(\mathbf{x})} \right) \phi_i(\mathbf{x}) = \epsilon_i \phi_i(\mathbf{x})$$

$$\frac{\delta E_{\text{corr}}^{\text{LDA}}[\rho]}{\delta \rho(\mathbf{x})} = \epsilon_{\text{corr}}(\rho(\mathbf{x})) + \epsilon'_{\text{corr}}(\rho(\mathbf{x}))\rho(\mathbf{x})$$

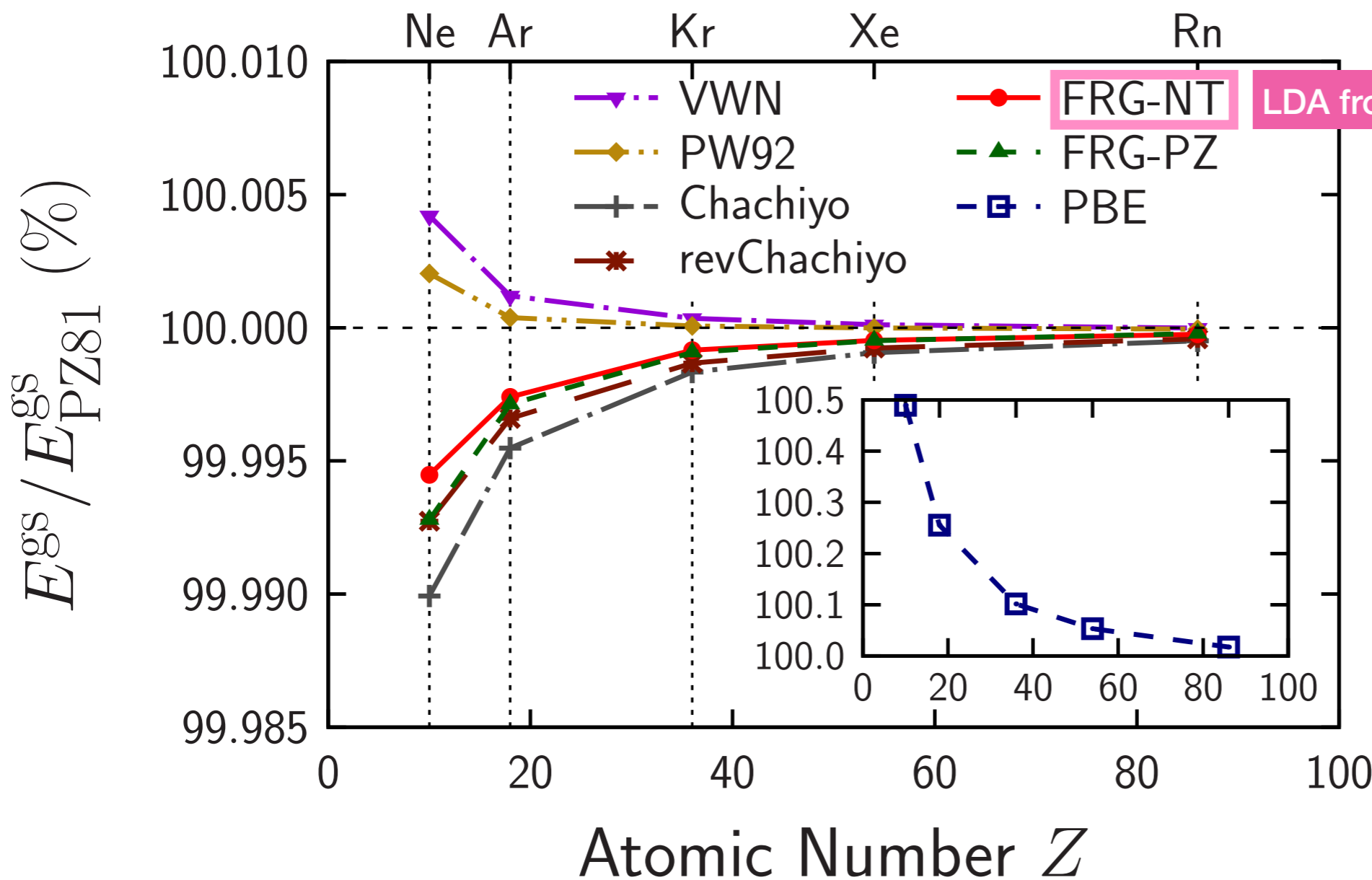
$$\epsilon'_{\text{corr}}(r_s) = \frac{1}{2nr_s} \int_P \dots \quad \text{see arXiv:2010.07172}$$

# Kohn-Sham calc. for noble gas atoms

TY, Naito, PRResearch (2021)

Comparison to other conventional functionals

**VWN, PW92** (LDA obtained by fitting of MC data)  
**PBE** (generalized gradient approximation)



FRG shows comparable results to other conventional LDAs despite **no empirical parameter.**

The key to improve the accuracy is the **gradient effects.**

# Summary

## Density functional theory (DFT)

Efficient method to analyze electrons, nuclei, ...

## How to derive energy density functional (EDF)?

Key quantity in DFT

## **Effective-action formalism will provide a new way to construct EDF**

Functional evolution equation in a closed form for effective action

FRG techniques are useful

Application: vertex expansion (electrons, ...)

Other direction: spectral function TY, Yoshida, Kunihiro, PTEP (2019)

superfluid system TY, Kasuya, Yoshida, Kunihiro PTEP (2021)

## Outlook

Inclusion of gradient effect (LPA? Neural-net ansatz?)

Application to realistic nuclear matter, nuclei

Numerical study of superfluid systems

# Appendix

## Calculation of excited states

# Analytic continuation to real time

FRG technique to obtain real-time spectral function via **analytic continuation of flow eq. for correlation function** has been developed.

e.g.) **meson spectral function in O(4), quark-meson model**

Kamikado, Strodthoff, von Smekal, Wambach (2020)  
Tripolt, Strodthoff, von Smekal, Wambach (2014)  
TY, Kunihiro, Morita (2016), (2017)...

This technique can be used to calculate spectral function of density fluctuation in FRG-DFT!

Flow eq. for correlation with Matsubara freq.  $\tilde{G}_{\lambda}^{(2)}(\omega_i, p)$

 **Analytic continuation for flow eq.**

Flow eq. for real-time correlation  $\tilde{G}_{R,\lambda}^{(2)}(\omega, p)$

 **Solution**

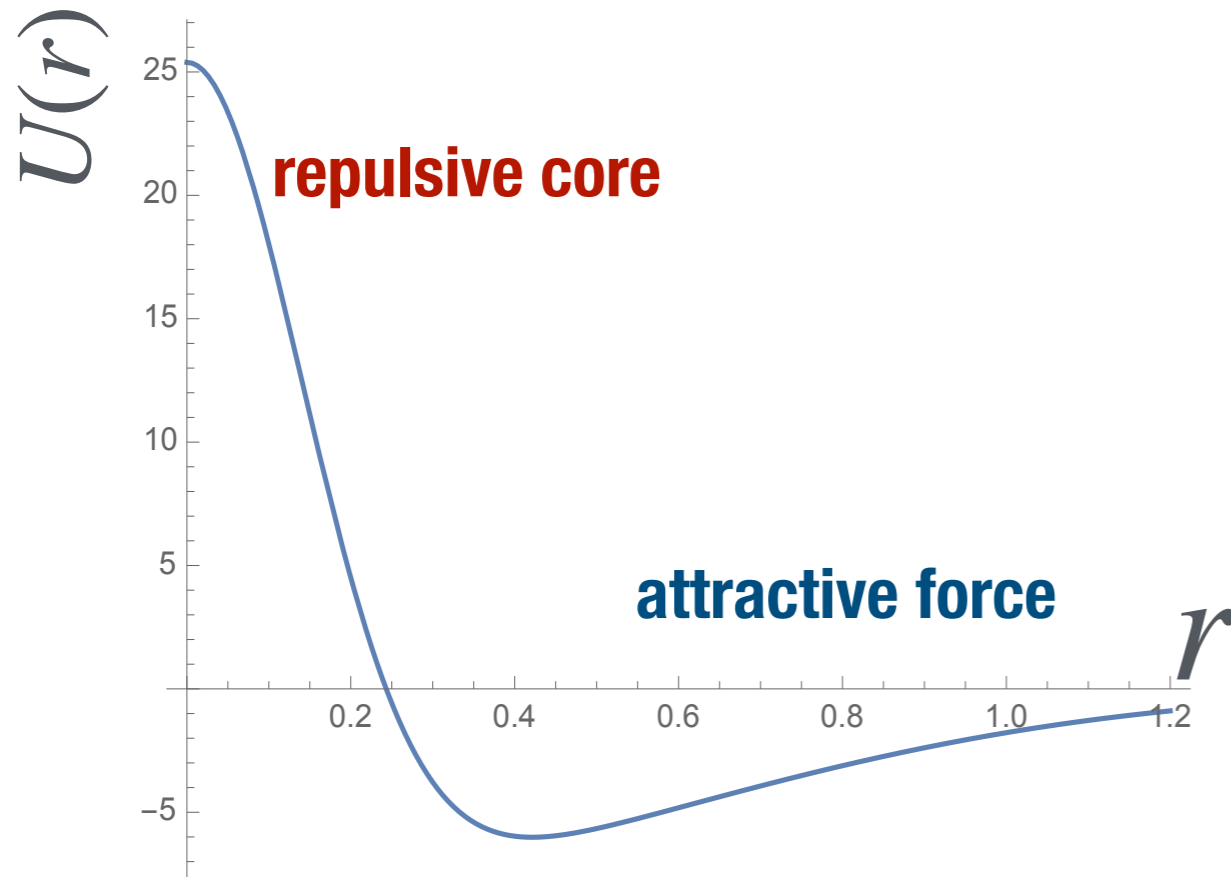
Spectral function in density channel:  $\rho_d(\omega, p) = -2\text{Im}\tilde{G}_{R,\lambda=1}^{(2)}(\omega, p)$

# One-dim. nuclear matter

- **Finite particle number in finite volume**
- **Infinite matter**

Kemler, Pospiech, Braun, JPG (2017)

TY, Yoshida, Kunihiro, PRC (2019)



Identical fermions (e.g. spin polarized system) interacting via very-simplified nuclear-like force

$$U(r) = \frac{g}{\sigma_1 \sqrt{\pi}} e^{-\frac{r^2}{\sigma_1^2}} - \frac{g}{\sigma_2 \sqrt{\pi}} e^{-\frac{r^2}{\sigma_2^2}}$$

$$g = 12, \sigma_1 = 0.2, \sigma_2 = 0.8$$

fermion mass=1 unit

Alexandro, Myczkowski, Negele, PRC (1989)



# Excitation in Tomonaga-Luttinger liquid

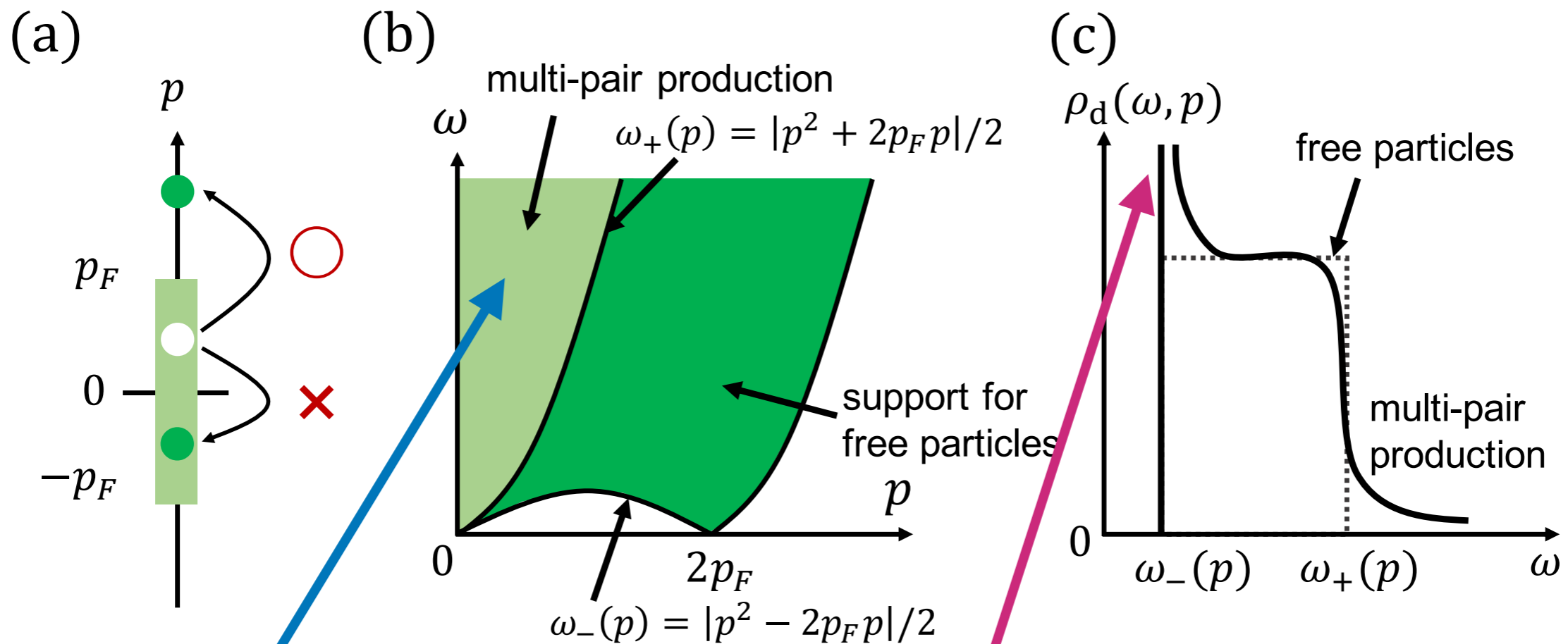
## Previous results

(such as bosonization)

Pustilnik, Khodas, Kamenev, Glazman, PRL (2006)

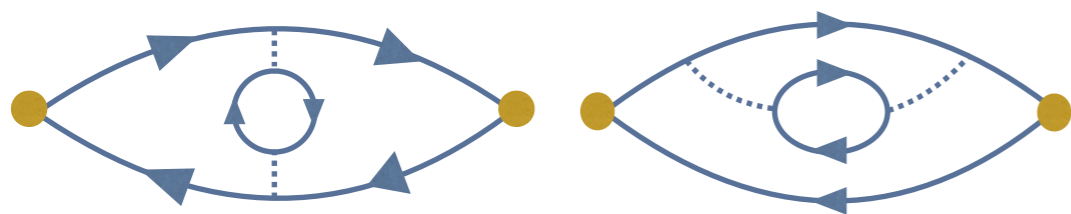
Teber, PRB (2007)

Imambekov, Schmidt, Glazman, RMP (2012)



**Multi-pair production**

**Mahan (X-ray edge) singularity**

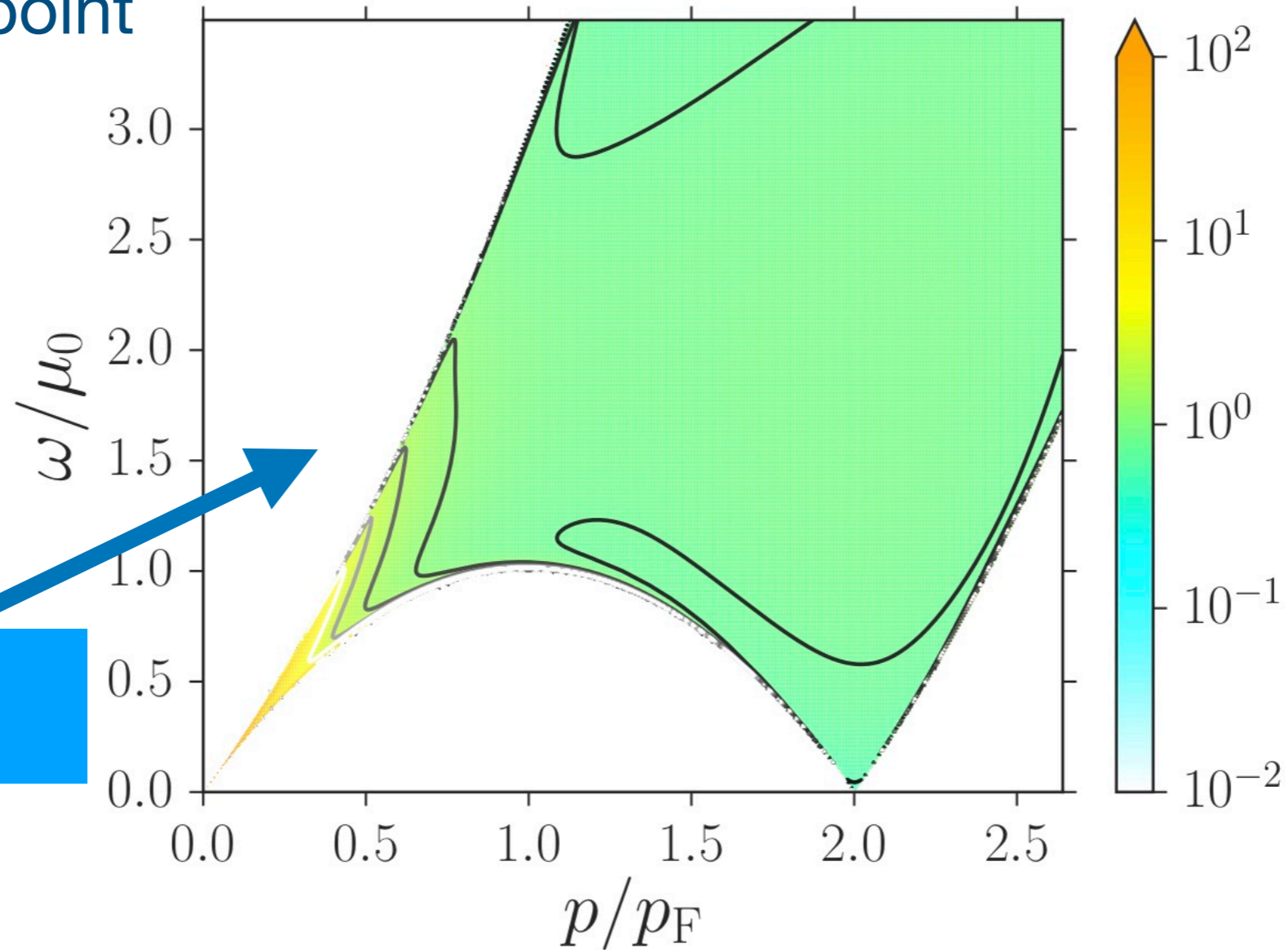


Nozieres, De Dominicis, PR178 (1969); Mahan (1981)

# FRG-DFT result of $\rho_d(\omega, p)$

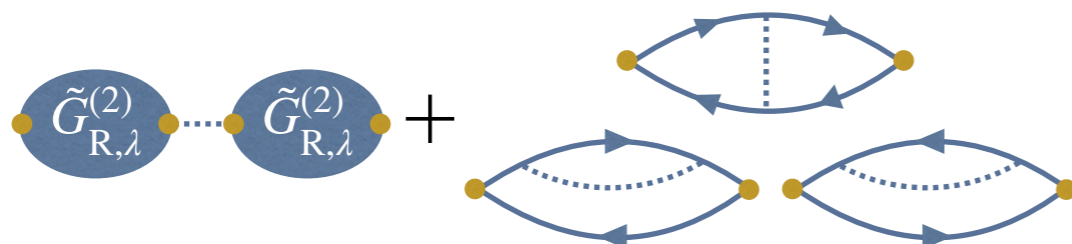
TY, Yoshida, Kunihiro, PTEP (2019)

At saturation point

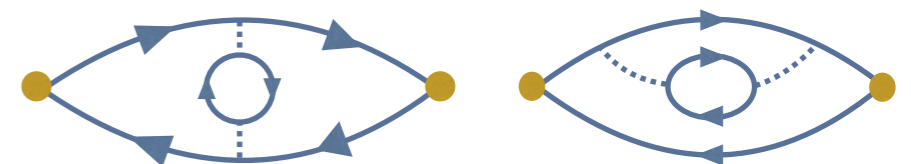


No multipair contribution

$$\partial_\lambda \tilde{G}_{R,\lambda}^{(2)}(\omega, p) =$$

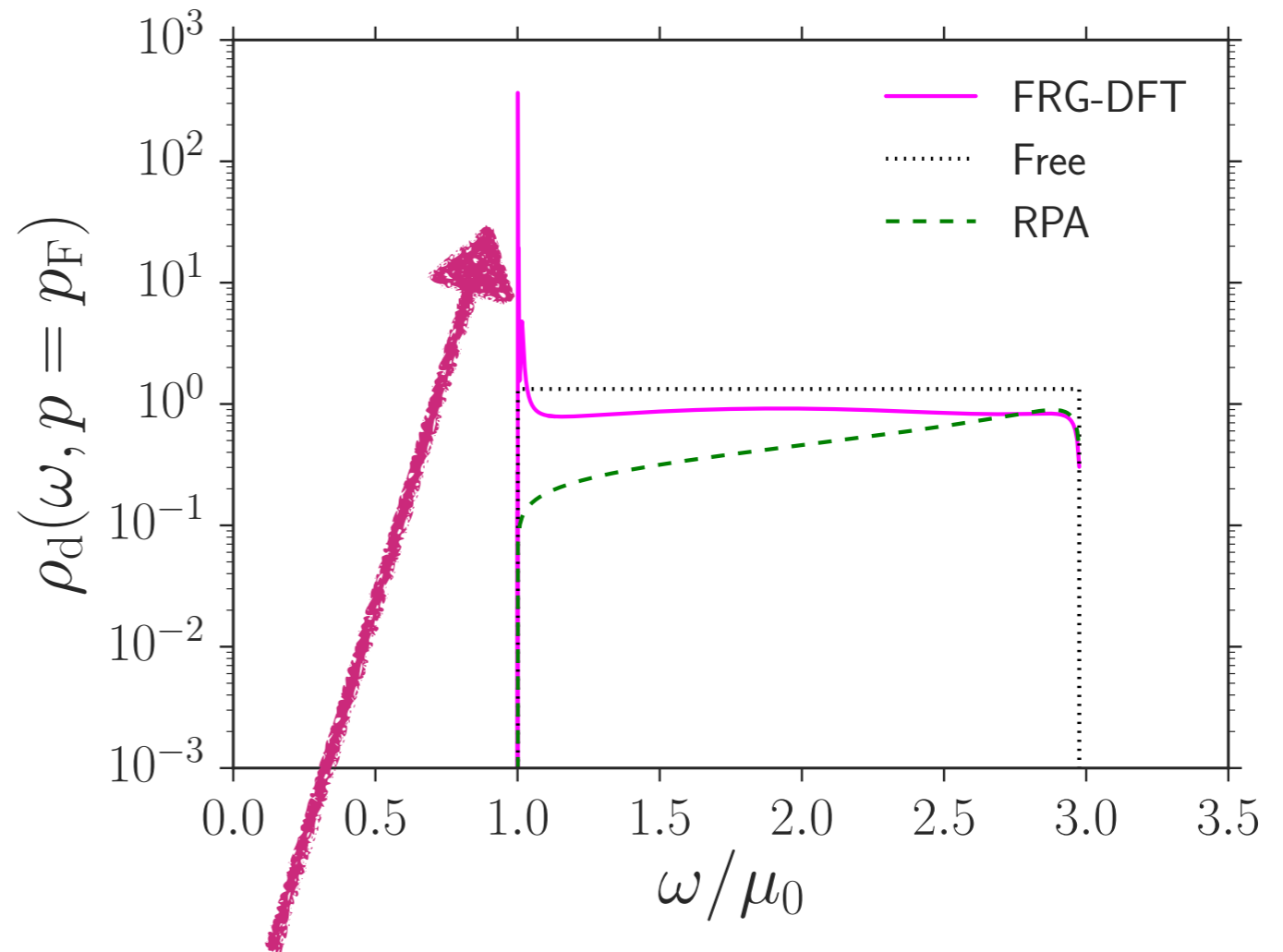
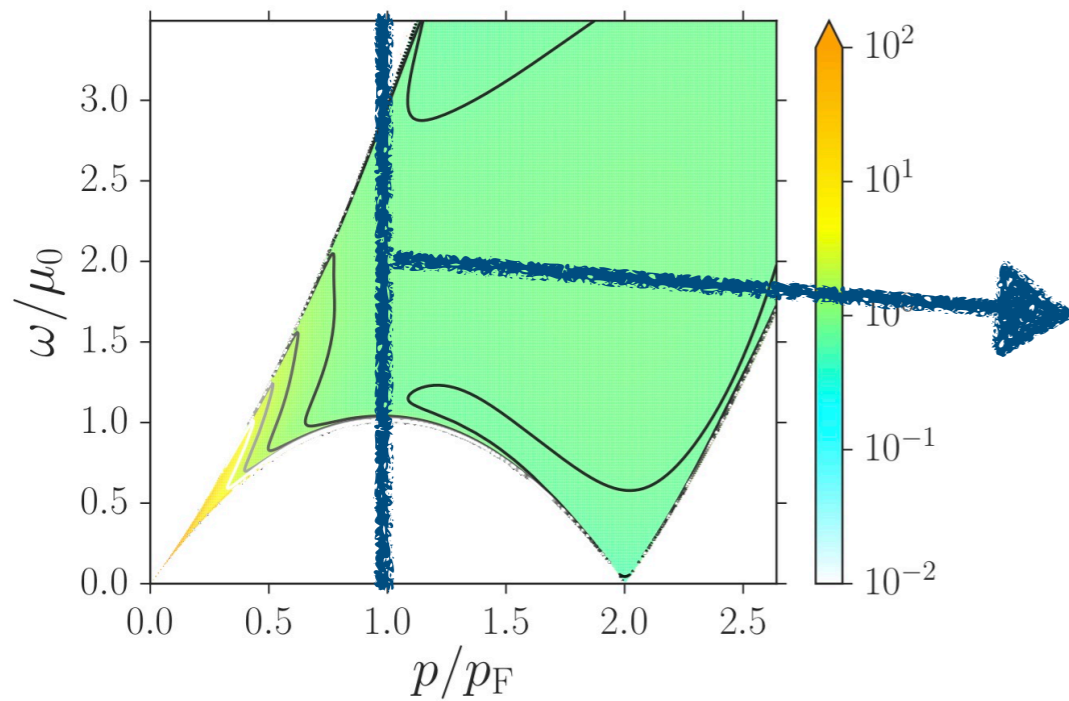


No multi-pair diagrams



# $\rho_d(\omega, p)$ at fixed momentum

TY, Yoshida, Kunihiro, PTEP (2019)



Reproduced in FRG-DFT!

$$\partial_\lambda \tilde{G}_{R,\lambda}^{(2)}(\omega, p) =$$

**RPA**

